

07/12/99  
F-1534-U-S-PTOPlease type a plus sign (+) inside this box → 

PTO/SB/05 (1/98)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1094

First Inventor or Application Identifier Robert C. Wohlsen

Title METHOD AND SYSTEM FOR IDENTIFYING A USER BY VOICE

Express Mail Label No. EL023800503US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2.  Specification [Total Pages 34]
 

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 4]

4. Oath or Declaration [Total Pages 3]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)  
*[Note Box 5 below]*
  - i.  DELETION OF INVENTOR(S)
    - Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO:  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

6.  Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
 

- a.  Computer Readable Copy
- b.  Paper Copy (identical to computer copy)
- c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers (cover sheet & documents)

9.  37 C.F.R. §3.73(b) Statement  
(when there is an assignee)  Power of Attorney

10.  English Translation Document (if applicable)

11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

12.  Preliminary Amendment

13.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

14.  \* Small Entity Statement(s)  Statement filed in prior application, (PTO/SB-09-12)  Status still proper and desired

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Other: .....

\* A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation     Visional     Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label : ..... or  Correspondence address below  
 (Insert Customer No. or Attach bar code label here) .....

Name	Charles E. Gotlieb			
	Law Offices of Charles E. Gotlieb			
Address	540 University Avenue			
	Suite 300			
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Country	US	Telephone	650-328-0100	94301
650-328-2844				

Name (Print/Type)	Charles E. Gotlieb	Registration No. (Attorney/Agent)	38,164
Signature	Charles E. Gotlieb	Date	July 12, 1999

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time that you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

002/PTO  
Rev. 10/95U.S. Department of Commerce  
Patent and Trademark Office**FEE TRANSMITTAL**

TOTAL AMOUNT OF PAYMENT (\$ 796)

Complete if Known

Application Number	7/12/99
Filing Date	7/12/99
First Named Inventor	Robert C. Wohlsen
Group Art Unit	159
Examiner Name	1312
Attorney Docket Number	1094

**METHOD OF PAYMENT (check one)**

1  The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 07-1738

Deposit Account Name Law Offices of Charles E. Gotlieb

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17.  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2.  Payment Enclosed

Check  Money Order  Other

**FEE CALCULATION (fees effective 10/1/98)****1. FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	760	201	380	Utility filing	760
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)				760	

**2. CLAIMS**

		Fee from below	Fee Paid
Total Claims	22 - 20 =	2 X 18 =	36
Independent Claims	3 - 3 =	X =	
Multiple Dependent Claims		X =	

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103	18	Claims in excess of 20
102	78	Independent claims in excess of 3
104	260	Multiple dependent claim
109	78	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		36

**3 ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Description	Fee Paid
105	130	205	Surcharge-late filing fee or oath	
127	50	227	Surcharge - late provisional filing fee or cover sheet	
139	130	139	Non-English specification	
147	2390	147	For filling a request for reexamination	
112	920	112	Requesting a publication of SIR prior to Examiner action *	
113	1840	113	Requesting publication of SIR after Examiner action *	
115	110	215	Extension for response within first month	
116	380	216	Extension for response within second month	
117	870	217	Extension for response within third month	
118	1360	218	Extension for response within fourth month	
119	300	219	Notice of Appeal	
120	300	220	Filing a brief in support of an appeal	
121	260	221	Request for oral hearing	
136	1430	136	Petition to institute a public use proceeding	
140	110	240	Petition to revive unavoidably abandoned application	
141	1210	241	Petition to revive unintentionally abandoned application	
142	1210	242	Utility Issue fee (or reissue)	
143	430	243	Design Issue Fee	
144	580	244	Plant Issue Fee	
122	130	122	Petitions to the Commissioner	
123	50	123	Petitions related to provisional applications	
126	240	126	Submission of Information Disclosure Stmt	
581	40	581	Recording each patent assignment per property (x no. properties)	
146	760	246	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249	For each additional Invention to be examined (37 CFR 1.129(b))	
Other fee (specify)				
Other fee (specify)				
SUBTOTAL (3) (\$)				

\* Reduced by Basic Filing Fee Paid

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Charles E. Gotlieb			Reg. Number	38,164
Signature	<i>Charles E. Gotlieb</i>			Date	7/12/99
				Deposit Account User ID	Charles Gotlieb

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